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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 2

Complete if Known

Application Number	10/840,188
Filing Date	May 05, 2004
First Named Inventor	Mark Mathis
Art Unit	3731
Examiner Name	NGUYEN, TUAN VAN
Attorney Docket Number	10057-719.201

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
/T.N./	236	US- 3,974,526	8/17/1976	Dardik et al.	
	247	US- 5,458,615	10/17/1995	Klemm et al.	
	249	US- 5,507,295	4/16/1996	Skidmore, Robert	
	255	US- 5,752,969	5/19/1998	Cunci et al.	
	256	US- 6,022,371	2/8/2000	Killion, Douglas	
	254	US- 6,562,067	5/13/2003	Mathis, Mark	
	253	US- 6,599,314	7/29/2003	Mathis et al.	
	252	US- 6,743,219	6/1/2004	Dwyer et al.	
	251	US- 6,773,446	8/10/2004	Dwyer et al.	
	248	US- 6,899,734	5/31/2005	Castro et al.	
	250	US- 6,935,404	8/30/2005	Duerig et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
/T.N./	244	JP 2003-503101	1/28/2003	Solem et al.		
	245	JP 2003-521310	7/15/2003	Mitralife		
	246	JP 2000-308652	11/7/2000	Jostra Medizintechik GmbH		

Examiner
Signature

/Tuan Nguyen/

Date
Considered

10/27/2010

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2	of 2	Attorney Docket Number	10057-719.201

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/T.N./	257	MATHIS et al; U.S. Patent App. # 11/963,417 entitled "Device and method for modifying the shape of a body organ," filed 12/21/2007.	

Examiner Signature	/Tuan Nguyen/	Date Considered	10/27/2010
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